



1FW  
+

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number	10/813,849
		Filing Date	March 30, 2004
		First Named Inventor	Jonathan J. Hull
		Group Art Unit Number	2837
		Examiner Name	Not Yet Known
Total Number of Pages in This Submission	7*	Attorney Docket Number	20412-08358

ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & PTO/SB/08A	<input type="checkbox"/>
<input checked="" type="checkbox"/> Copies of IDS Cited Non-Patent References	<input type="checkbox"/>
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/>
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
<input type="checkbox"/> Amendment/Response: [ ] Page(s)	<input type="checkbox"/>
<input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>
<b>REMARKS:</b> *Page count does not include cited references.	

SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Greg T. Sueoka, Reg. No. 33,800	Dated: 10/26/05

CERTIFICATE OF MAILING		
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filed in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.		
Signature:		
Typed or Printed Name:	Greg T. Sueoka	Dated: 10/26/05
Express Mail Mailing Number (optional):		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jonathan J. Hull, Jamey Graham and Peter E. Hart  
APPLICATION NO.: 10/813,849  
FILING DATE: March 30, 2004  
TITLE: Music Processing Printer  
EXAMINER: Not Yet Known  
GROUP ART UNIT: 2837  
ATTY. DKT. NO.: 20412-08358

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 10/26/05

By: [Signature]

Greg T. Sueoka, Reg. No.: 33,800

MAIL STOP AMENDMENT  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

**PATENT**

- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained

**PATENT**

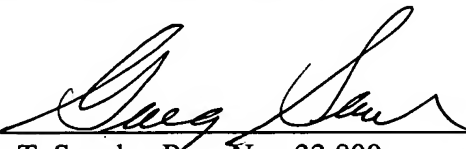
in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(d); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. \_\_\_\_\_, filed on \_\_\_\_\_, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
JONATHAN J. HULL ET AL.

Dated: 10/26/08

By:   
Greg T. Sueoka, Reg. No.: 33,800  
Fenwick & West LLP  
Silicon Valley Center  
801 California Street  
Mountain View, CA 94041  
Tel.: (650) 335-7194  
Fax.: (650) 938-5200



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08A (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

### Complete if Known

Application No.	10/813,849
Filing Date	March 30, 2004
First Named Inventor	Jonathan J. Hull
Art Unit	2837
Examiner Name	Not Yet Known
Attorney Docket Number	20412-08358

Sheet 1 of 3

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,774,951	08-10-2004	Narushima
	A2	US-6,674,538	01-06-2004	Takahashi
	A3	US-6,665,092	12-16-2003	Reed
	A4	US-2003/0220988 A1	11-27-2003	Hymel
	A5	US-6,611,622	08-26-2003	Krumm
	A6	US-6,594,377	07-15-2003	Kim et al.
	A7	US-2003/0088582 A1	05-08-2003	Pflug
	A8	US-2002/0185533 A1	12-12-2002	Shieh et al.
	A9	US-6,476,793	11-05-2002	Motoyama et al.
	A10	US-6,466,534	10-15-2002	Cundiff, Sr.
	A11	US-2002/0101513 A1	08-01-2002	Halverson
	A12	US-6,421,738	07-16-2002	Ratan et al.
	A13	US-2002/0048224 A1	04-25-2002	Dygert et al.
	A14	US-6,373,498	04-16-2002	Abgrall
	A15	US-2002/0010641 A1	01-24-2002	Stevens et al.
	A16	US-6,308,887	10-30-2001	Korman et al.
	A17	US-6,296,693	10-02-2001	McCarthy
	A18	US-2001/0003846 A1	06-14-2001	Rowe et al.
	A19	US-6,193,658	02-27-2001	Wendelken et al.
	A20	US-6,175,489	01-16-2001	Markow et al.
	A21	US-6,153,667	11-28-2000	Howald
	A22	US-6,118,888	09-12-2000	Chino et al.
	A23	US-6,106,457	08-22-2000	Perkins et al.
	A24	US-6,000,030	12-07-1999	Steinberg et al.
	A25	US-5,940,776	08-17-1999	Baron et al.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

20412/08358/DOCS/1569558.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
				Application No.	10/813,849
				Filing Date	March 30, 2004
				First Named Inventor	Jonathan J. Hull
				Art Unit	2837
				Examiner Name	Not Yet Known
Sheet	2	of	3	Attorney Docket Number	20412-08358

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A26	US-5,936,542	08-10-1999	Kleinrock et al.
	A27	US-5,903,538	05-11-1999	Fujita et al.
	A28	US-5,774,260	06-30-1998	Petitto et al.
	A29	US-5,764,368	06-09-1998	Shibaki et al.
	A30	US-5,682,330	10-28-1997	Seaman et al.
	A31	US-5,661,783	08-26-1997	Assis
	A32	US-5,633,723	05-27-1997	Sugiyama et al.
	A33	US-5,568,406	10-22-1996	Gerber
	A34	US-5,444,476	08-22-1995	Conway
	A35	US-5,438,426	08-01-1995	Miake et al.
	A36	US-5,386,510	01-31-1995	Jacobs
	A37	US-5,270,989	12-14-1993	Kimura
	A38	US-5,170,935	12-15-1992	Federspiel et al.
	A39	US-5,136,563	08-04-1992	Takemasa et al.
	A40	US-5,115,967	05-26-1992	Wedekind
	A41	US-5,093,730	03-03-1992	Ishii et al.
	A42	US-5,091,948	02-25-1992	Kametani
	A43	US-4,907,973	03-13-1990	Hon
	A44	US-4,881,135	11-14-1989	Heilweil
	A45	US-4,807,186	02-21-1989	Ohnishi et al.
	A46	US-4,754,485	06-28-1988	Klatt
	A47	US-4,734,898	03-29-1988	Morinaga

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

**Complete if Known**

<b>Application No.</b>	10/813,849
<b>Filing Date</b>	March 30, 2004
<b>First Named Inventor</b>	Jonathan J. Hull
<b>Art Unit</b>	2837
<b>Examiner Name</b>	Not Yet Known
<b>Attorney Docket Number</b>	20412-08358

Sheet	3	of	3
-------	---	----	---

FOREIGN PATENT DOCUMENTS					
		Foreign Patent Document			
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
	B1	WO 99/18523	04-15-1999	Caere Corporation	

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.